



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 20 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,081	12/28/2001	Colin Chong	047711-0284

CONFIRMATION NO. 1999

FORMALITIES LETTER



OC000000007429934

Irvin C. Harrington, III
FOLEY & LARDNER
35th Floor
2029 Century Park East
Los Angeles, CA 90067-3021

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/21/2002 SHINASS1 00000010 10036081

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	612.00 OP
03 FC:102	588.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1200.
 - \$612 for 34 total claims over 20.
 - \$588 for 7 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2070.

*A copy of this notice **MUST** be returned with the reply.*

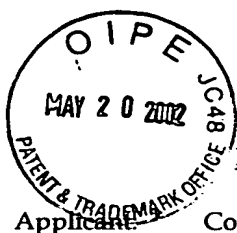
Karla Quintana

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

GW

MPD



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Colin Chong, et al.	Examiner:	Unassigned
Serial No.:	10/036,081	Group Art Unit:	3761
Filed:	December 28, 2001	Docket:	047711-0284
Title:	BARRIER CATHETER APPARATUS AND METHOD		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on May 6, 2002.

Amy T. Christensen

 Amy T. Christensen

Box Missing Parts
 Commissioner for Patents
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal of Missing Parts with Certificate of Mailing thereon (in duplicate)
- ☒ Part 2 of Notice to File Missing Parts of Application
- ☒ Petition for One Month Extension of Time (in duplicate)
- ☒ Declaration signed by inventors Chong, Oberg, Mounce, Lord and Van Antwerp (5 pages)
- ☒ Assignment signed by inventors Chong, Oberg, Mounce, Lord and Van Antwerp (7 pages)
- ☒ Assignment Recordation Cover Sheet
- ☒ Check in the amount of \$2,070.00 for filing fee (large entity), extra claims fee and large surcharge
- ☒ Check in the amount of \$110.00 for one month extension of time
- ☒ Check in the amount of \$40.00 for filing fee to record assignment
- ☒ Return postcard

Please charge any fees associated with this transmittal to Deposit Account No 50-0872. A duplicate of this sheet is enclosed.

Date: May 6, 2002

By: *Ron Coslick*

Name: Ron Coslick
 Reg. No.: 36,489
 TRR/atc

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 2029 Century Park East - Suite 3500
 Los Angeles, CA 90067-3021
 1-310-975-7963 Office
 1-310-557-8475 Fax



COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:	10/036,081	Group Art Unit:	3761
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Commissioner for Patents
Washington, D.C. 20231

Sir:

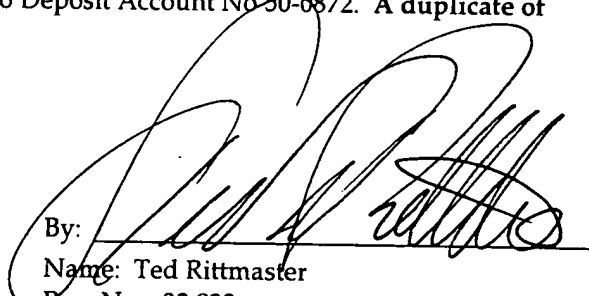
We are transmitting herewith the attached:

- ☒ Power of Attorney by Assignee and Exclusion of Inventor Under Rule 3.71
- ☒ Return postcard

Please charge any fees associated with this transmittal to Deposit Account No. 50-8872. A duplicate of this sheet is enclosed.

Date: May 7, 2002

FOLEY & LARDNER
2029 Century Park East, Suite 3500
Los Angeles, CA 90067
Telephone: (310) 277-2223
Facsimile: (310) 557-8475

By: 
Name: Ted Rittmaster
Reg. No.: 32,933
TRR/atc

MAY 21 2002

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